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20999 7590 05/05/2005

FROMMER LAWRENCE & HAUG
745 FIFTH AVENUE- 10TH FL.
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07/06/2005 LWONDIH2 00000071 09897571

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(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/897,571	07/02/2001	Hiroichi Ishikawa	450100-03330	9687

TITLE OF INVENTION: OPTICAL MULTILAYER STRUCTURE, OPTICAL SWITCHING DEVICE, AND IMAGE DISPLAY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	08/05/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
THOMPSON, TIMOTHY J	2873	359-291000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. **FROMMER LAWRENCE & HAUG LLP**2. **WILLIAM S. FROMMER**

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SONY CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

TOKYO, JAPANPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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*William S. Frommer*Date July 1, 2005

Typed or printed name

WILLIAM S. FROMMERRegistration No. 25,506

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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CERTIFICATE OF MAILING

	<u>Issue Fee Due</u>	<u>Publication Fee</u>
Serial No. : 10/692,763 Attorney Docket : 450100-4928.1 First Named Inventor : Yoichiro Sako	July 18, 2005	No
Serial No. : 09/676,126 Attorney Docket : 450100-02737 First Named Inventor : Hideki Shimomura	July 20, 2005	No
Serial No. : 10/297,869 Attorney Docket : 450100-03890 First Named Inventor : Kenichi Nozaki	July 27, 2005	\$300.00
Serial No. : 10/338,263 Attorney Docket : 450100-02558.3 First Named Inventor : Hiroaki Yada	Aug. 2, 2005	\$300.00
Serial No. : 10/221,985 Attorney Docket : 450101-03730 First Named Inventor : Shigetaka Kudo	Aug. 3, 2005	\$300.00
Serial No. : 10/224,941 Attorney Docket : 450101-3560.4 First Named Inventor : Yoichiro Sako	Aug. 3, 2005	\$300.00
Serial No. : 09/944,749 Attorney Docket : 450100-03466 First Named Inventor : Toshihiro Ishizaka	Aug. 5, 2005	\$300.00
Serial No. : 09/897,571 Attorney Docket : 450100-03330 First Named Inventor : Hiroichi Ishikawa	Aug. 5, 2005	\$300.00

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on July 1, 2005 via "Express Mail #EV723349822 and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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7/1/05
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